

Corporate Governance and Audit Committee 2019-20 Work Programme

Subject	Route	Lead Officer
25 July 2019		
CGAC work programme 2019-20		Katherine Davis
Audit fees 2019-20		EY
Audit Results Report for the year ended 31 March 2019		EY
Approval of the 2018-19 Audited accounts		David Cooper
2018-19 Treasury Management Outturn report	Cabinet	Mark Catlow
Corporate Health and Safety and Business Continuity Management		Warren Townsend
S106 and CIL Annual Monitoring report		Simon Davies
Partnerships Report 2019		Amy Loaring
2018/19 Annual Governance Statement and Corporate Governance report. App 1 CGAC report to Full Council; App 2 Annual Governance Statement; App 3 Report on Partnerships; App 4 Effectiveness of Internal Audit section	Council	Stephen James
Fraud Prevention		Stephen James
Internal audit - individual reports and audit plan progress 2018-19 and new audit plan 2019-20		Stephen James
Strategic Risk Group - Appointment of three members of the committee		Chairman
24 October 2019		
Annual Audit Letter 2018-19		EY
Strategic & Operational Risks 2019-20 – report back from SRG 26 September 2019	Cabinet	Helen Belenger
Financial Strategy & Plan 2020-21	Cabinet	Helen Belenger
Corporate Debt and Write Off Policies	Cabinet	Helen Belenger
S106 annual exceptions report		Simon Davies
Complaints, Freedom of Information requests and Data Protection Analysis 2018-19		Nick Bennett/Fiona Delahunty
Internal audit - individual reports and audit plan progress		Stephen James

Subject	Route	Lead Officer
Budget TFG - Appointment of three members of the committee		
10 January 2020		
Certification of claims and returns annual report 2018-19		EY
Capital Strategy		Mark Catlow
Audit Progress Report		EY
Mid- year review of the Treasury Management Strategy 2019-20	Cabinet	Mark Catlow
Draft Treasury Management Strategy and Policy for 2020-21		Mark Catlow
Budget Review TFG – report back by members of the group		Helen Belenger/ TFG members
Internal audit - individual reports and audit plan progress		Stephen James
28 March 2020		
Audit Planning Report 2019-20		EY
Internal audit - individual reports and audit plan progress and new audit plan 2020-21		Stephen James
Accounting Policies		Mark Catlow
Strategic, Organisational and Programme Board Risk Registers Update	Cabinet (if policy changed)	Helen Belenger
Internal audit - individual reports and audit plan progress		Stephen James
Carry forward requests	Cabinet Apr 2019	David Cooper
Report on potential liabilities of outstanding litigation (Part 2)		Nick Bennett

Reports emailed to CGAC members for information:

- Audit scopes – sent to committee members by Internal Audit
- Audits where recommendations are low risk – medium and high risk audits included on agenda
- Treasury Management monthly reports – sent to members by Financial Services
- Property Investment performance monthly reports – sent to members by Financial Services
- Employment Statistics annual report – November (reported as part of Equality Strategy update) – sent to members by Committee Clerk
- EY quarterly committee briefings – sent to committee members by Committee Clerk